ARCHAIC CHINESE *-iwong, *-iwok AND *-iwog

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In Shi-king Researches he failed to see a vocalic difference between Anc. Ch. -ung, -uk, -iwong, -iwok on the one hand and -uong, -uok, -iung, -iuk on the other, and therefore supposed an -o- vowel alike in all these finals in Archaic Chinese. This left him empty spaces for *-ung, *-uk, *-jung, *iuk, etc. which he identified with this group of -jung, -juk, etc., thus making an assumption that -u- and -o- are accoustically sufficiently similar to be allowed to rime in Archaic Chinese-an assumption which I rejected as not being sufficiently grounded. Following the distinctions that I made, Karlgren, in the course of revising his reconstructions (Word Families in Chinese pp. 39-55), suddenly came to the result that he had *-ung, *-uk, *-ug, *-jung, *-juk, *-jug, and *ung, *-uk, *-ug, but no *-iŭng, *-iŭk, *-iŭg (p. 51). To fill in, therefore, the empty squares, he modified his *-iung, *-iuk, *-iug to be *-iung, *-iuk, *-iug, thus considering his riddle solved. In doing so, however, he got himself into the difficulty that these *-iung, *-iuk, *iug hardly ever rime in Archaic literature with his *-ung, *-uk, *-ug, *-iung, *-iuk, -*iug, nor even with his *-ung, *-uk, *-ug. To get over this difficulty, he had to make a similar assumption that this -z- after a medial -i- has so changed its pronounciation that it becomes accoustically similar to an -2-. The crucial point in this question is: Have we any right to designate a vowel to be -\vec{u}-\text{ while the main evidence, the Sh\vec{n}-king rimes, points to be otherwise? Are we warranted to admit accoustic similarities of Archa\vec{n}c sounds without any evidence? and can we find data in Archa\vec{n}c literature from which we can determine the accoustic affinities of this -2-? My answer is that we can find data enough to determine the accoustic affinities of this -2-, and therefore we are not allowed to imagine freely that an -\vec{u}- is similar to an -2-; for we must remember that these *-i\vec{u}ng, *-i\vec{u}k, *-i\vec{u}g rime not occasionally but consistantly with *-2ng, *-i\vec{v}ng, *-i\vec{v}k, *-i\vec{v}k, etc. and hardly ever with *-\vec{u}ng, *-\vec{v}k, *-\vec{u}g.

If we examine the category *-ong, *-iong, we see that the strict system of riming in Shi-king forbids these and Karlgren's *-iong to rime with either *-ung, *-iong, *-iong, or *-ong, *-iong, *-iong.(1) The rimes in Yi-king practically make no distinction of *-ung, : *-ong, and occasionally present an *-ong or *-iong riming with both *-ung and *-ong, evidently due to loose riming from which no conclusion can be drawn. But, Shi-king presents in several occasions *-om, *-iom riming with *-ong, *-ong and *-iong, but never with *ung, *-ung, and *-iong (cf. Ancient Chinese -ung, -uk, -uong, -uok, etc. in Archaic Chinese, pp. 381). If this indicates anything at all, it shows Archaic -o- is accoustically more close to -o-rather than to -u- or -u-.

In my discussion of the sources of the Ancient Chinese vowel â, I have already noticed the close relation between Archaic *-ək, *-əg, *-iək, *-iəg, etc. and the Archaic rime category the which I shall now designate by forms *-ok, *-og, *-iok, *-iog, etc. (op. cit. pp. 30-31). As a matter of fact, in Archaic literature including Shi-king, we find an overwhelming number of exceptional rimes in which *ək, *-əg, *-iək, *-iəg go together with *-ok, *-og, *-iok, *-iog, etc. Here are a few examples:—

^(!) In my previous article I reconstructed Anc. -ung, -uk to be Arc. *-ong, *-ok, mainly due to the fact that they rime frequently with forms in -ång, -dk. Karlgren has now cleverly disposed of this difficulty by assuming this -ång to be from earlier *-ňng. There is then no difficulty to think that these -ung and -uk are original. Similarly -iwong, -iwok go back to *-iung and *-iuk. This explains much better certain cases of exceptional riming of -iu, -ou with -uk, -iwok in Shī-king. I accept also his *-ong, *-ok, *-iong, *-iok, *-og, *iog, etc. (he designated the main vowel with -ô-) for -uong, -uok, -iung, -iuk, -âu, -iuu, etc. The introduction of a lax vowel renders also my -co- quite useless in the category 帮 (Tuan's second category), I shall designate Anc. -âu, -au, -iūu, -ieu, -âk, -ak, -iak, -iek by *-og, *-iog, *-iog, *-iog, *-iog, *-iok, *-jok, *-iok.

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詩經 (小雅, 賓之初筵 2), 能 nong, noy: 仇 g'iog:又—--(1): 時 d'iog
                      穋 mljok 1 麥 mwāk(2)
    (豳風,七月7),
                      稷 tsiak:福 ___: 穆 mlink:麥 mwak:
    (魯頌, 閟宮 1),
                         國 kwak : A siak
                      道 d'og:已 ziəg:始 siəg
易經 (象傳,恆),
                      保 pog: 母 mwəg
              8),
    (繋辭下傳
                      起 k'iog:始 siog:道 d'og:理 liog:紀 kiog
禮記 (月令),
                      事 dz'iəg:教 kög:解 dziəg:有一:恃 d'iəg
老子(養身),
                      已 ziəg:保 p~g:守 śiog:答 g'ioj:道 d'og
    (運夷),
                      道 d'og:久 ____:殆 d'əy
    (歸根),
                      改 kəg : 殆 d'əg : 母 mwəg : 道 d'og
    (象元),
                      富 ___: 志 t'ioj · 久 ___ ; 壽 d'iog
    (辩德),
                      嗇 siag : 復 b'jok: 德 tak: 克 k'ak:極 g'jak:
    (守道),
                         國 kwak: 母 mwag 1 人 ____ 道 d'og
            紀 king:理 ling:止 t'ing:道 d'cg:子 tsjeg
管子(正)。
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荀子 (蠶賦),游diog:絲 siag:治 d'iag 爾雅 (釋訓),極 g'ikk: 德 tak: 直 d'iag 力 liak: 服 ——: 急 kiap: 息 siak:德 tak:毒 d'ok:忒 t'ak:食 d''iak:告 kog,kok:

則 tsək: 慝 t'ək: 職 t'jək: 鞠 kjok

首 siog:起 k'iog:待 d'og

莊子 (天運),

These examples are sufficient to show that Archaic -2- is more akin to -0-. Curiously enough, in Shi-king, where the riming system is very strict, we hardly have any example of even an exceptional riming of -2- with Karlgren's -u-, -u-, but numerous cases of -2- with -0-. In other Archaic texts rimes of -2- :-u- are also extremely rare, even in the loose riming of Yi-king. Shi-king has further offered us even exceptional cases of riming *-2g, *-i2g with *-0, *-i0, i.e. Pag., Pag.,

⁽¹⁾ Temporarily I denote those -iou and -iuk forms by a blank.

⁽²⁾ For Anc. $-\varepsilon k$ (革), -ai (我), etc. which rime with Arc. *- ∂k , *- ∂g , etc.. we evidently have a lax variety of the '- ∂ - vowel in Archaic Chinese. I denote them as *- ∂k , $^{\dagger}\partial g$, etc. similarly Anc. $-\varepsilon ng$ as *- ∂ng .

even exceptionally with his -uk, -ug, but, on the contrary, do occasionally rime with *-og, *-iog in Shi-king and other texts:—

31

If an unknown sound rimes consistantly with -2-, -3-, and, just like 2-, -3-, it occasionally rimes with -o-, -o-, but practically never with -u-, $-\check{u}$ -, have we still the right to assign it the value of an -ŭ-? Certainly Anc. Ch. -jung, -juk prove nothing about its Archaic value. If Karlgren thinks that this -ŭ- goes back etymologically to an -ŭ- but has modified its value to an open timbre after a medial -i-, his "etymologically correct" reconstructions might be accepted—had he given us proofs! From the study of hie-sheng, we can conclude that Arc. *-o, *-io by forming phonetic compounds with *-ak, *-iak can be traced back to still earlier *-ag, *-iag. There Karlgren may be allowed, but he has not done so, i.e., to reconstruct *-o, *-io as *-ag, *-iag and thus be etymologically correct. But hie-sheng hardly shows any definite case of his *-iung, *-iuk, *-iung forming phonetic compounds with his *-ung, *-uk, *-ug.(1) It does not give the slightest support to his etymology. According to his theory it would be equally hard to explain the erratic behavior of -ŭ- changing its pronounciation to something like an -o- during the Shi-king period, but reverting back to an -u- in T'sie-yun. I do not mean such a thing is impossible, but it is hardly plausible when his etymology is not founded on the slightest evidence.

⁽¹⁾ Cf. op. cit. pp. 384—385, 390, 398—400. In that article, I made an extensive study of hie-sheng as represented in Kuang-yün, for we must remember a character may be authenticated by its occurence in an Archaic text but its pronounciation came down to us mainly thru Kuang-yün. It is therefore extremely important to know the system in Kuang-yün first. I have demonstrated that the hie-sheng system in Kuang-yün, inspite of what I called spurious words, agrees with the Archaic sound system rather than with the Ancient system. I have used therefore, my results, but strictly controlled them by Shi-rimes. It is absurd to try to give an Archaic reading to every word in Kuang-yün cited in my article. The evolution of Chinese characters leaves much to be desired; hundreds and hundreds of words in bone and bronze inscriptions are still not deciphered. What late forms took their place and when? The problem of kia-tsie in Archaic texts, with later and often concurrent seperate characters in use, offers us many a stumbling block in assigning a phonetic form to a definite character.

Karlgren's *-iung, *-iuk, *-iug, then, go against two main sources, Shi-rimes and hie-sheng, on which we based our reconstructions; they are inacceptable.

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If we examine Karlgren's system as given on p. 54 of his Word Families in Chinese, we may have another possibility; for he has *-ong, *-ok, *-og (i.e. -ong, $-\partial k$, $-\partial g$) but no *- $i\partial ng$, *- $i\partial k$, *- $i\partial g$ (i.e. $-i\partial ng$, $-i\partial k$, $-i\partial g$), which he could have filled in with these -jung, -juk, -jou forms with less difficulty. This, in my opinion, is equally impossible. In the first place, if a vowel only occasionally rimes with an -o-, -o-, but consistantly with an -o-, have we the right to designate it as an -o-? In the second place, the hie-sheng system, which shows an earlier stage, gives us consistently an alternation with an -o-, very exceptionally with an -o-, i. e. 裘 kiwǒg: 宋 kiog. But such occasional hie-sheng is not in any sense comparable to cases of consistant alternation, such as *-o ! *-âk. *-io : *-iak, which clearly indicate *-o, *-io to be from earlier *-âg, *-iag. We cannot similarly deduce that an earlier *-io- has become later more like -o- than -o-. In the third place, the Shī-rimes are far stricter than have been imagined. Rimes of a tense and a lax vowel are allowed, but rimes of an open -o- and a close -o-, of a close -o- and an -u- are permitted only as exceptions. From the consistant manner in which these -jung, -juk, -jou rime with an Archaic -o-, I do not hesitate to assign them a principal vowel of the -o- variety. Other vowels would not satisfy the Shi-rimes. I have perfect right to maintain my *-iwong, *-iwok, *-iwog.

For the *-iwang forms, I see no objection, nor has Karlgren any reason against them. It is of course impossible to exclude the possibility of an -iwang which would give us equally well Anc. Ch. -jung. The sole reason why Karlgren was so strong in rejecting these forms was because we have -iwok and -wi which I have also reconstructed to be *-iwak, *-iwag. I have suggested that -a- may be in one case accented and in the other case unaccented, so that in one case we may have -wi and in the other case -iou. Such difference of accent may be due to certain accentual variation we have no knowledge of or may be due to dialectal differences. I have further suggested the possibility of analogical forces which would then give us double developments, especially exception of any consequence is the form it iwak which has not gone to iuk, because its phonetic · 武 ywək and such common words like 惑 Ywək, 國 kwək have all preserved an -- ak while forms like 囿 juk have no - ak or - wak forms preserved in its phonetic. This -iwak then may be a reading pronounciation, after its phonetic by Ywak. Karlgren rejects all such possibilities, he prefers to follow a purely formal logical way of reasoning: as there are -iwək and -iuk, similarly -wi, and -iou, these -iuk and

-in must go back to forms other than -o-, thus wiping out all such other possibilities which no historical linguistics can afford to wipe out. If these possibilies are not proved to our satisfaction, it is because we have as yet no adequate material on Archaic dialects and on Archaic colloquial chinese; but it is most disappointing when, in following a logic, his conclusion leads to such an absurdity as an -ū- which does not rime with an -ū-!

We are now still dealing with the broad outlines of Chinese Archaic sound system, there are whole chapters of phonology yet to be written, such as the development of unaccented syllables, which would throw a flood of light on the origins and development of particles so common in use in Chinese. Such a common particle 的 ti (pronounced to in connected speech) corresponds in usage to the literary 之 tsi and 者 tso (Arc. *t'iog and *t'iog); it may turn out actually to be modern representative of these two words under special phonological rules of unaccented words. Similarly 不 pu (Cantonese păt) may come from 弗 piust, 你 ni from 爾 nžię etc. I do not mean that such etymologies are certain, but they at least indicate to us the necessity of taking such additional factors into account in the very complicated problem of Chinese phonology. In order, therefore, to be careful about reconstructions, before data about the Archaic language and dialects are in any form to be utilized to explain different developments to a certainty, I am willing to modify my *-iwək and *-iwəg to be *-iwək and *-iwəg, to keep the two lines of development clear, the -s- may be a weak or lax variety of -s-. We have then for these rime categories the following reconstructions:

If these reconstructions show no *-iðng, *-iðk, *-iðg forms, we are not allowed to conclude that they did not exist. For they may have existed and merged, for instance, with *-iong, *-iok, *-iog, but the rimes of Shi-king and the hie-sheng system do not offer any indication.

Karlgren has further modified his *mug 母 to *mog (p. 43) against my *mwog. He has ignored such forms as 倍, 菩 b'âi (< b'og); a labial initial cannot

have been the cause for Et *mog to become mou. His *mog is inacceptable. I suggested that there is a tendency for *mwag to become mau in shang-sheng words. Karlgren objects to this. He mentions (pp. 42-43) two common words which he considered as fatal exceptions, 每 muậi and 悔 xuậi. The word 悔 xuải can be dismissed at once, for we do not know under what form, if it had a labial initial at all(1), it existed in Archaic Chinese. Even if we concede that there is some kind of a labial initial, the relative chronology of this labial's development into x- and the date of -wog's development into -uâi and -eu are of such importance, that we have to give due consideration before we should multiply a single exception into two. To mention such phonologically uncertain word is worthless, for, just a few lines below, Karlgren mentions 海 hài (¿ *xməg) which would be against his 母 mou as *mog, if he thinks 悔 xuâi is against 母 mou as *mwog. I have noticed long ago the possibility of a secondarily developed medial -u- when a labial precedes an -â- (cf. "切韵 â 的來源", pp. 7, 15, 18, 27-28). There is quite a bit of uncertainty in Kuang-yun in seperating this secondary -u- from the original -u-, so that we have only puâ, puân, puât etc., but no pâ, pân, pât; pâi (秦韵) but no puâi; predominantly -uậi but very few pậi. We have further a very interesting phenomenon of reassortment in the rime 唐 :--

	ping sheng	shang-sheng		k'ü-sheng		ju-sheng	
\boldsymbol{p}	$[-\hat{a}ng]^{(2)}$ -w $\hat{a}ng$	-âng	$[-w\hat{a}ng]$	$[-\hat{a}ng]$	-wang	$-\hat{a}k$	[-u ĝ k]
$oldsymbol{p}^{t}$	$-\hat{a}ng$ $[-w\hat{a}ng]$	-âng	$[-w\hat{a}ng]$	$[-\hat{a}ng]$	$[-w\hat{a}ng]$	$-\hat{a}k$	$[-w\hat{a}k]$
be	[-âng] -wâng	$[-\hat{a}ng]$	$[-w\hat{a}ng]$	-âng	$[-w\hat{a}ng]$	-âk	$[-w\hat{a}k]$
m	-âng [-wâng]	-âng	[-wang]	-âng	$[-w\hat{a}uy]$	-â k	$[-w\hat{a}k]$

Such curious distribution is evidently due to the fact that in this rime the k*ai k*ou and the ho-k*ou are included in one rime, and we have to depend on the ts'ie for differentiation, while in the majority of the other finals there are seperate rimes for the k*ai-k*ou and for the ho-k*ou. In modern dialects, none of these puâ and pâ- are distinguished, the confusion starts some where about the time of Ts'ie-yün. Such being the state of affairs, we should not think that all these ho-k*ou words were original ho-k*ou words, nor generalize that all these ho-k*ou words go back to original k*ai-k*ou words.(1) Generally speaking, Kuang-yün sometimes do have k*ai-k*ou words classified as ho-k*ou due to the development of a secondary medial -u-, -w-, but the reverse is not generally true. Such

⁽¹⁾ Karlgren suggests xm, but it could equally well be mx or even a voiceless m. Nothing definite can be said for such an initial.

 ⁽²⁾ Forms not found are put in brackets.

Li Fang-kuei

word as 倍 b'ài (attested in Kuang-yün and T'ang manuscripts of Ts'ie-yün) must be taken as real k'ai-k'ou word, on the other hand, 每 muâi may go back to *məg instead of *mwəg. The point here is that we cannot say anything definite about the word 每. For this reason I prefer to leave it as exceptional. But the majority of cases is so overwhelming, that we had better take a further look at the following facts before we get so keen about a single exception.

p'ing-sheng		k ^e ü-s	k ^e ü-sheng		shan	g-sheng		
梅	mudi	佩(珮) b'uậi		母	$m_{\partial}u$		
媒	11	. 邶(鄁) "		某	"		
腌	"	背	p u $\hat{q}i,$	b'uậi	拇	**		-
腜	11	毎	muậ i		畝(晦)) "		
禖	12	[翼	"](2)	骐	"		
舜	"	[脢	"]	部	b ' ϑu		
鋂	"	•	•		[培	,,]		•
培	b'àui	-			[腊	"]		
陪	"				瓿	"		
[編]	17,]			餢	,, ·		
[棓	"]			篰	"		
[碩	"]			掊	\cdot p ə $m{u}$		
杯(格)	puậi			٠.	剖	p'au		
肧	p ʻu \hat{q}	į,			[馨	"]		
坏(坯)	,				.p*inį	g-sheng	k*u-shen	g .
醅	,,			,	[罄	b' au]	[苺 mə	u]
[衃	,,]		•	[掊	"]	[膳 b 'a	n.]
shang sheng 毎 muậi			[賠	"]				
			[餢	"]				
					[警	"]	•	

The above list consists exclusively of words that occur in Archaic texts or at least in Shuo-wen, a Han work, and includes all the common words in question. Their pronunciation is taken from Kuang-yün. We find that -uhi forms abound in p'ing-sheng and k'ü-sheng with a single exception in muhi in shang-sheng, and -vu forms abound in shang-sheng with five -vu forms in p'ing-sheng (4 of which have alternative readings in shang-sheng) and 2 -vu forms in k'ü-sheng (one has alternative reading in shang-sheng). I suspected such -vu forms in other tones

⁽¹⁾ Some more cases of confusion are 旆 b ai, etc. given in a Tang manuscript of Ts ie-yun as b uâi (蒲外反), 拵 muâi give as mâi (唐剛, 內府藏唐寫本刊繆補鉄切詢).

⁽²⁾ Words in brackets are readings not given in either manuscripts of T'sie-yün.

than shang-sheng to be spurious readings. Here Ts'ie-yun is most illuminating, (0) for it gives no -ou forms under labial initials in p'ing-sheng and in k'ü-sheng.(2) On the other hand it gives 錇 and 掊, which Karlgren mentioned against me, actually in shang-sheng just as in Kuang-yün, but not in ping-sheng and registers 答 also in shang-sheng under the initial 1-1 It is obvious that such late additions of -au forms in ping-sheng and kü-sheng are analogical or spurious readings. If we keep to strictly authenticated words as well as their authenticated readings, we find that no -ou forms exist in ping-sheng and k'u-sheng, but plenty of -ou forms in shang-sheng and plenty of -uîi forms in pting-sheng and ktü-sheng, with a single exception 毎 in shang-sheng (also with an alternative reading in k'ü-sheng attested by T'sie yün). It seems to me quite useless to harp on that single exception, which may be explained if we can be more than merely determining the framework of our Archaic reconstructions. I see no reason to change my opinon that Arc. *-wag after a labial initial tends to become -au in Ancient Chinese, in shang-sheng words, otherwise -uâi. The distinction in modern Peiping dialect between ping-sheng and shang-sheng such as 歸 kui:鬼 kuei is at least suggestive of such possibilities.(3)

The problem of a medial -w- after labials is a very complicated one. The point I am contending here is not the precise issue of whether to reconstruct m-mou as "mog or *mwog, but the implication of Karlgren's reconstructions. His "mog > *mou versus "mwog > muûi seems to me quite impossible without a thorough investigation of the problem of medial -w- after labials. In the first place, it is against m b'ûi, unless he assumes m to be m0 against Kuang-yün and Ts'ie-yün. In the second place, it implies that all Anc. Ch. m1, m2, m3, etc. go back to truly ho-k'ou words. This is to take the problem of medial -w- after labials too lightly. In the third place, it does not explain the curious distribution of m1 forms in shang-sheng and m2 forms in p'ing-sheng and m3. The

^{、(1)} Cf. 王國維, 店寫本切韻殘卷 and 唐蘭, 內府藏唐寫本刊繆補缺切韻.

⁽²⁾ Words not belonging to this category such as \P p'əu are eliminated. An interesting case of spurious reading are the characters 路趙 which are through semantic similarity identified with \P , so that in Kuang-yün (but not in T'sie-yün) they are also given the reading p'eu after \P , and similarly \P is given the reading b'ək. after Π , Π

⁽³⁾ Cf. the interesting observation of Lo Ch'ang-p'ei about the Tibetan transcription of shang-sheng words by doubling the main vowel. Ey dently a difference of vocalic length or quality is felt in shang-sheng as early as about a thousand years ago (羅堂塔: 唐五代西北方音, pp. 66—67).

⁽⁴⁾ Cf. the treatment of words with labials as a third separate class in 黃淬伯, 懸珠一切 經音義反切考。

Li Fang-kuei

ho-k'ou element in words of labial initials should be taken with extreme caution to explain different developments of this nature.

A further point about those *-ā (家), *-iā (者), *-wā (華) which rime with *-o, and *-io, etc. As Archaic *-ok, *-og, etc. do not rime with *-ok, *-og, etc., there is no reason to believe that -ā- can rime with -o-. They go back to *-ō, *-iō, *-wō, with a lax variety of the vowel -o-. Our view that the rimes in Shī-king are very strict is further confirmed by the introduction of a lax vowel, brilliantly employed by Prof. Karlgren. Shī-king rimes a tense and a lax vowel together regularly, as $a: \check{a}, o: \check{o}, o: \check{o}, o: \check{o}, u: \check{u}, e: \check{e},$ etc., but vowels of other variety only exceptionally, as o: o, o: u, o: o, etc.